



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/733,229  
Source: EFW  
Date Processed by STIC: 8/25/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

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- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/efc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):  
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Revised 05/17/04



IFWO

## RAW SEQUENCE LISTING

DATE: 08/25/2004

PATENT APPLICATION: US/10/733,229

TIME: 16:24:55

Input Set : A:\PTO.FG.txt

Output Set: N:\CRF4\08252004\J733229.raw

5 <110> APPLICANT: Cai, Sui Xiong  
 7 Drewe, John  
 8 A.  
 9 Jiang, Sungchun  
 11 Kasibhatla, Shailaja  
 13 Kuemmerle, Jared Daniel  
 15 Sirisoma, Nilantha Sudath  
 17 Zhang, Han-Zhong  
 21 <120> TITLE OF INVENTION: Substituted 1-Benzoyl-3-Cyano-Pyrrolo[1,2-a]Quinolines and  
 Analogs as  
 22 Activators of Caspases and Inducers of Apoptosis  
 25 <130> FILE REFERENCE: 1735.0810001  
 29 <140> CURRENT APPLICATION NUMBER: 10/733,229  
 31 <141> CURRENT FILING DATE: 2003-12-12  
 33 <150> PRIOR APPLICATION NUMBER: 60/432,608  
 34 <151> PRIOR FILING DATE: 2002-12-12  
 38 <160> NUMBER OF SEQ ID NOS: 1  
 42 <170> SOFTWARE: PatentIn version 3.0  
 46 <210> SEQ ID NO: 1  
 48 <211> LENGTH: 4  
 50 <212> TYPE: PRT  
 52 <213> ORGANISM: Artificial  
 56 <220> FEATURE:  
 58 <223> OTHER INFORMATION: Description of Artificial Sequence  
 60 <400> SEQUENCE: 1  
 62 Asp Glu Val Asp  
 63 1

Does Not Comply  
Corrected Diskette Needed  
(p.1)

pls explain source of genetic material.

Invalid  
Response

RAW SEQUENCE LISTING ERROR SUMMARY      DATE: 08/25/2004  
PATENT APPLICATION:    US/10/733,229      TIME: 16:24:56

Input Set : A:\PTO.FG.txt  
Output Set: N:\CRF4\08252004\J733229.raw

Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete,  
per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:1

**VERIFICATION SUMMARY**

PATENT APPLICATION: US/10/733,229

DATE: 08/25/2004

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